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Electronic Patent Application Submission  
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EFS ID: 53214  
Application ID: 10613678  
Title of Invention: EPIDURAL THERMAL POSTERIOR  
ANNULOPLASTY  
First Named Inventor: Darrell Brett  
Domestic/Foreign Application: Domestic Application  
Filing Date: 2003-07-02  
Effective Receipt Date: 2004-01-06  
Submission Type: Information Disclosure  
Statement  
Filing Type:  
Confirmation number: 7367  
Attorney Docket Number: ExpC:EptaRib



Total Fees Authorized:


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Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US  
Certificate Message Digest: e22a6188222e2b17f4023e6743aececdc9ab5040



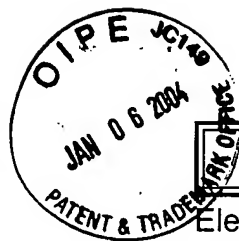
## TRANSMITTAL

Electronic Version v1.1

Stylesheet Version v1.1.0

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<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>Mrs. Karen Dana Oster Registered Number: 37621</td><td>Mrs. Karen Dana Oster</td><td>Attorney</td></tr></tbody></table>			Submitted by:	Elec. Sign.	Sign. Capacity	Mrs. Karen Dana Oster Registered Number: 37621	Mrs. Karen Dana Oster	Attorney		
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## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

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<p>Application Number: 10/613678</p> <p>Confirmation Number: 7367</p> <p>First Named Applicant: Darrell Brett</p> <p>Attorney Docket Number: ExpC:EptaRib</p> <p>Art Unit: 3739</p> <p>Search string: ( 4573448 or 5084043 or 5514130 or 5785705 or 6053909 or 6068828 or 6104957 or 6168593 ).pn.</p> <p>US Patent Documents</p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>4573448</td><td>1986-03-04</td><td>Kambin</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>5084043</td><td>1992-01-28</td><td>Hertzmann et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>5514130</td><td>1996-05-07</td><td>Baker</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>5785705</td><td>1998-07-28</td><td>Baker</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>6053909</td><td>2000-04-25</td><td>Shadduck</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>6068828</td><td>2000-05-30</td><td>Fanton et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>6104957</td><td>2000-08-15</td><td>Alo et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>6168593</td><td>2001-01-02</td><td>Sharkey et al.</td><td></td><td></td><td></td></tr></tbody></table> <p>Remarks</p> <p>Note: Remarks are not for responding to an office action.</p> <p>Applicant submits herewith copies of patents and other art of which he is aware and which he desires to have considered by the Patent Office in accordance with 37 CFR Section 1.97. In accordance with 37 CFR Section 1.97(b)(3), this Information Disclosure Statement is being submitted before the mailing date of a first office action on the merits. In accordance with 37 CFR Section 1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or patents,</p>								init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	4573448	1986-03-04	Kambin					2	5084043	1992-01-28	Hertzmann et al.					3	5514130	1996-05-07	Baker					4	5785705	1998-07-28	Baker					5	6053909	2000-04-25	Shadduck					6	6068828	2000-05-30	Fanton et al.					7	6104957	2000-08-15	Alo et al.					8	6168593	2001-01-02	Sharkey et al.			
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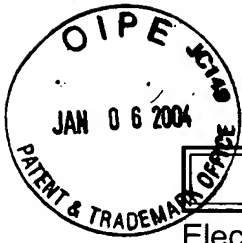
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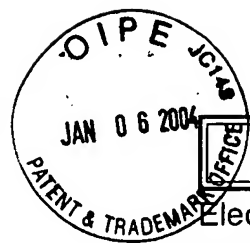
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Art Unit: 3739



Search string: ( 5203353 or 5334193 or 5348554 or 5374265  
or 5433739 or 5458596 or 5484432 or 5569242  
or 5571147 or 5865833 or 5954716 or 6007533  
or 6007570 or 6071280 or 6073051 or 6095149  
or 6119041 or 6122549 or 6264650 or 6264651  
or 6277112 or 6277116 or 6283960 or 6283961  
or 6290699 or 6402740 or 6482204 ).pn.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5203353	1993-04-20	Easley et al.			
	2	5334193	1994-08-02	Nardella.			
	3	5348554	1994-09-20	Imran et al.			
	4	5374265	1994-12-20	Sand.			
	5	5433739	1995-07-18	Sluijter et al.			
	6	5458596	1995-10-17	Lax et al.			
	7	5484432	1996-01-16	Sand.			
	8	5569242	1996-10-29	Lax et al.			
	9	5571147	1996-11-05	Sluijter et al.			
	10	5865833	1999-02-02	Daikuzono.			
	11	5954716	1999-09-21	Sharkey et al.			
	12	6007533	1999-12-28	Casscells et al.			
	13	6007570	1999-12-28	Sharkey et al.			
	14	6071280	2000-06-06	Edwards et al.			

	15	6073051	2000-06-06	Sharkey et al.
	16	6095149	2000-08-01	Sharkey et al.
	17	6119041	2000-09-12	Pomeranz et al.
	18	6122549	2000-09-19	Sharkey et al.
	19	6264650	2001-07-24	Hovda et al.
	20	6264651	2001-07-24	Underwood et al.
	21	6277112	2001-08-21	Underwood et al.
	22	6277116	2001-08-21	Utely et al.
	23	6283960	2001-09-04	Ashley
	24	6283961	2001-09-04	Underwood et al.
	25	6290699	2001-09-18	Hall et al.
	26	6402740	2002-06-11	Lax et al.
	27	6482204	2002-11-19	Lax et al.

## Remarks

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